

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/606,108
Filing Date	Jun 25, 2003
First Named Inventor	Kazushi Ogawa
Art Unit	2627
Examiner Name	Craig A. Renner
Attorney Docket Number	075834.00420

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Form PTO/SB/08A, cited references, and a postcard.
---	--	---

Remarks

**The Commissioner is hereby authorized to charge
any fees due or to credit any overpayment
to Deposit Account No. 50-3891.****SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	Rockey, Depke & Lyons, LLC		
Signature			
Printed name	Robert J. Depke		
Date	1/22/08	Reg. No.	37,607

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Robert J. Depke	Date	1/22/08

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Kazushi Ogawa **Atty. Docket No.:** 075834.00420
Serial No.: 10/606,108 **Group Art Unit:** 2627
Filed: June 25, 2003 **Examiner:** Craig A. Renner
Invention: THIN FILM MAGNETIC HEAD ASSEMBLY FOR MAGNETIC
TAPE DRIVE UNIT
Customer No.: 33448

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SIR:

In accordance with 37 CFR §§1.97, 1.98, Applicants and the undersigned attorney hereby submit a legible copy of each reference listed on the accompanying form PTO/SB/08A.

CERTIFICATION UNDER 37 C.F.R. §1.97(e)

Applicants hereby certify, as required under 37 C.F.R. §1.97(c)(1) and §1.97(e), that each reference identified in this Information Disclosure Statement is submitted within three months of the date on which the reference was first cited in a communication from a foreign patent office in a counterpart foreign application, and thus no fee is required for consideration thereof. A copy of the communication from the foreign patent office is enclosed for the convenience of the Examiner.

The undersigned also points out that the submission of each reference is neither a concession, nor an admission, that the reference qualifies as prior art for this application

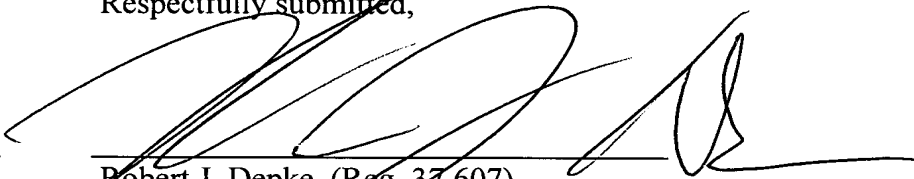
under the patent laws of the United States. Applicants reserve the right to challenge the status of any such document that the office determines to be prior art.

Should the Examiner believe a fee is required, the United States Patent and Trademark Office is hereby authorized and requested to charge any required fee to the deposit account of Rockey, Depke & Lyons, LLC, Deposit Account No. 50-3891.

Respectfully submitted,

Date: _____

1/22/08



Robert J. Depke, (Reg. 37,607)
ROCKEY, DEPKE & LYONS, LLC
233 S. Wacker Drive, Suite 5450
Chicago, Illinois 60606
Tel: (312) 277-2006
Fax: (312) 441-0570
Attorneys for Applicants